

# EXHIBIT E

## Exhibit E

U.S. Patent 8,392,311

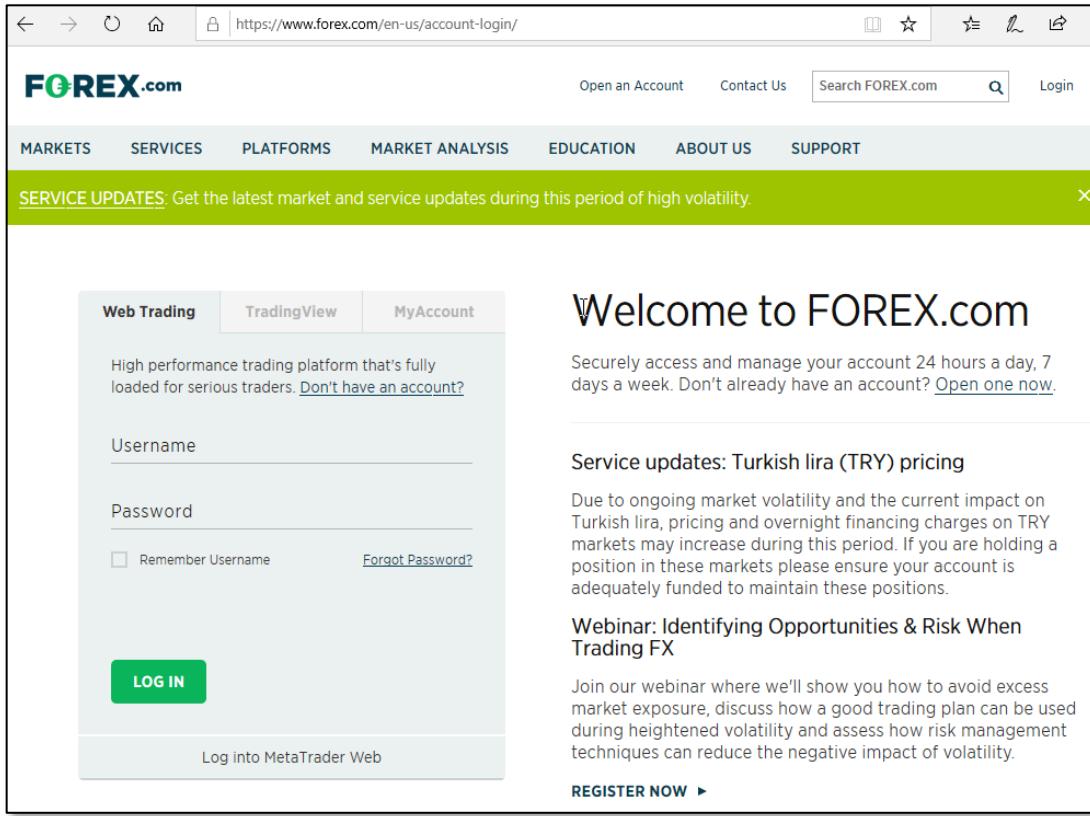
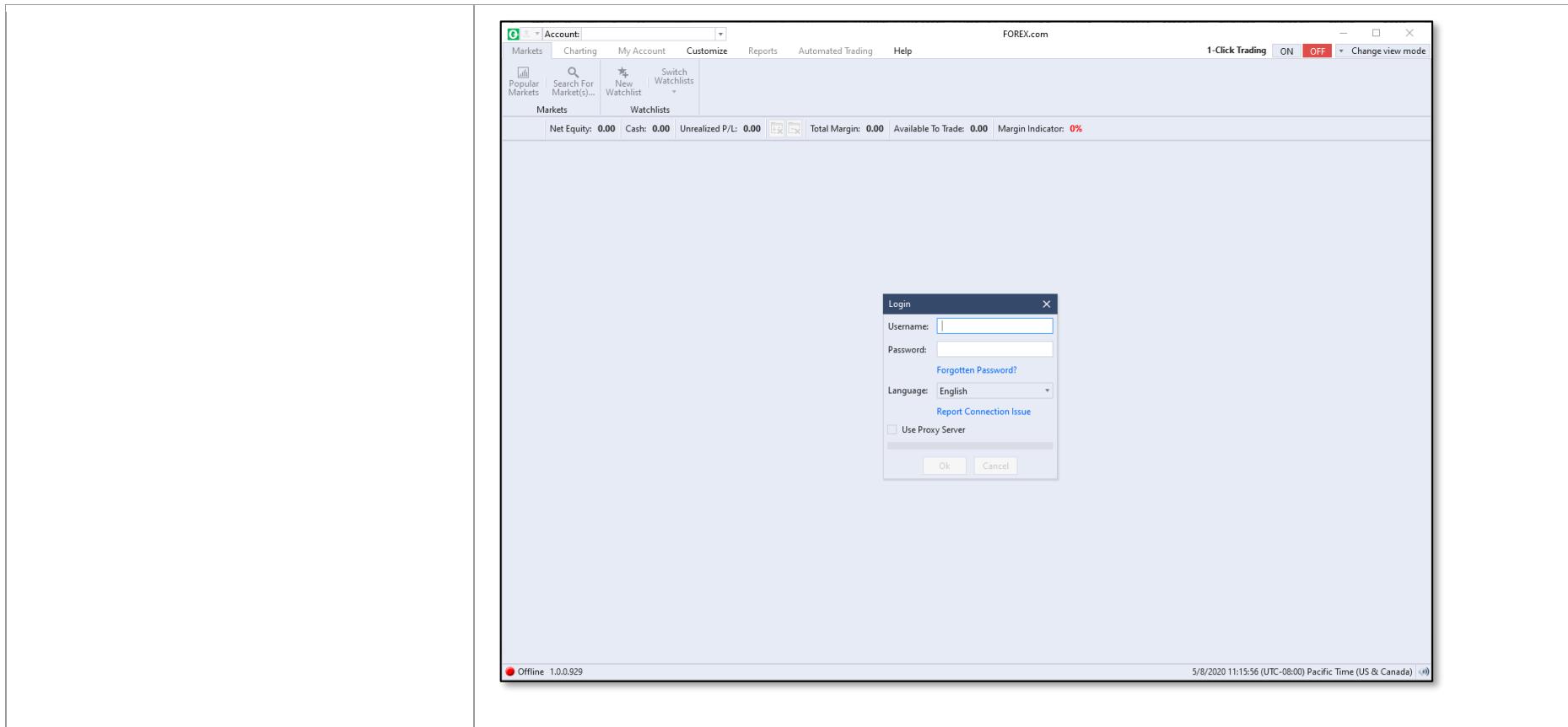
Claim Language	Gain Systems
<b>Claim 1</b>	
1. A method of trading currencies over a computer network connecting a trading system server and at least one trading client system, comprising the steps of:	<p>Defendants' currency trading systems practice this claim. <i>See</i> Forex.com:</p> 

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(i) at the trading system server, determining and dynamically maintaining a plurality of current exchange rates, each current exchange rate relating to a pair of currencies and including a first price to buy a first currency of the pair with respect to a second currency of the pair and a second price to sell the first currency of the pair with respect to the second currency of the pair;

See:

FOREX.com		233.46	233.46	233.46	0.00	0.00	> 200%
		Available to Trade	Net Equity	Cash (USD)	Unrealised P&L (USD)	Total Margin	Margin Indicator
Search markets	<input type="text"/>	<a href="#">Browse Markets</a>	<a href="#">Default Worksp...</a>	<a href="#">Market Analysis</a>	<a href="#">New workspace 3</a>	<a href="#">New workspace 3</a>	<a href="#">New workspace 4</a>
MARKETS							
Popular							
FX							
<b>Popular</b>		<b>POPULAR USA</b>					
<b>Filter markets</b>		<input type="text"/>	<b>SELL</b>	<b>BUY</b>	<b>CHANGE</b>	<b>%CHANGE</b>	
AUD/USD		▼	0.64235	0.64257	-6.40000	-0.10	+
EUR/JPY		▼	114.568	114.589	-92.600	-0.80	+
EUR/USD		▼	1.08045	1.08061	-35.00000	-0.32	+
GBP/JPY		▼	131.051	131.086	-147.700	-1.11	+
GBP/USD		▼	1.23598 ▲	1.23618	-74.70000	-0.60	+
NZD/USD		▼	0.60267	0.60304	-22.70000	-0.38	+
USD/CAD		▼	1.41363	1.41389	88.00000	0.63	+
USD/CHF		▼	0.97455	0.97481	20.50000	0.21	+
USD/JPY		▼	106.032	106.048	-53.600	-0.50	+
XAG/USD (per 0.1)		▼	14.901	14.950	-0.920	-0.61	+
XAU/USD		▼	1,686.13 ▼	1,687.14 ▲	-19.42	-1.14	+

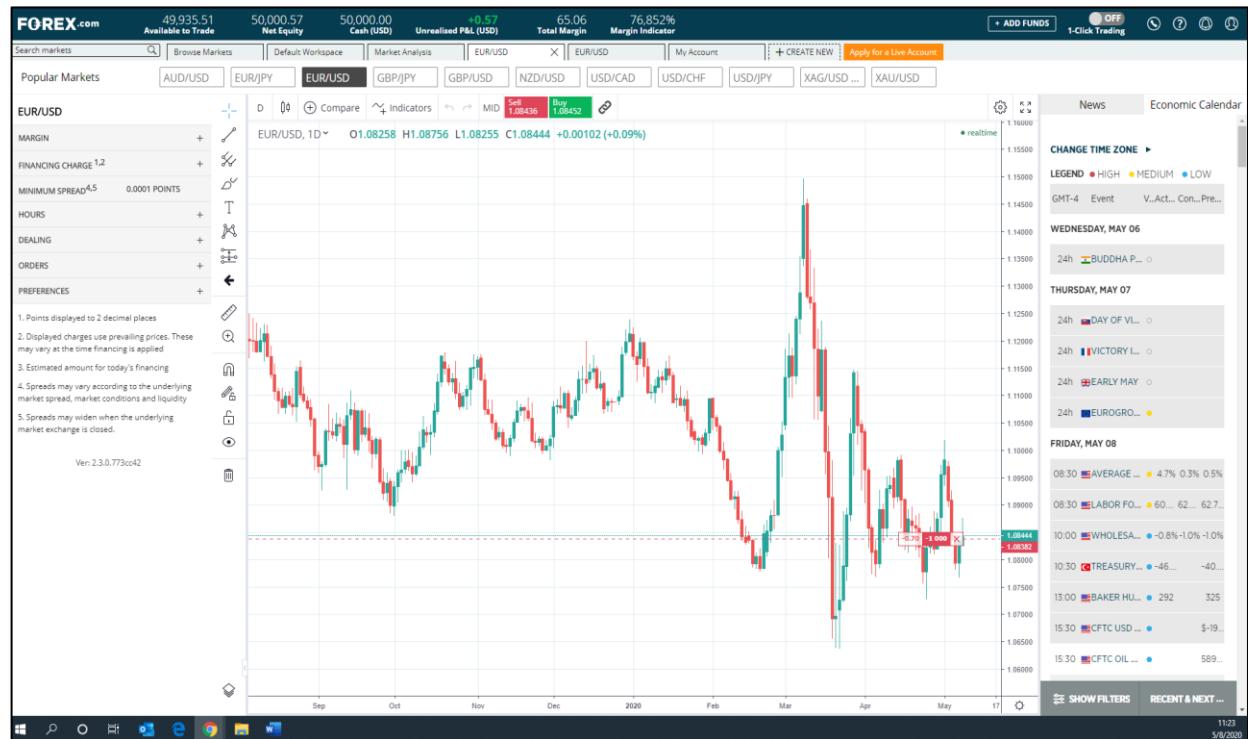
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Watchlist: Popular Markets										
	Market	Bid (Sell)	Ask (Buy)	Spread	% Change	☆	i	■	Trade Size	Order
×	AUD/USD	0.64155 ▲	0.64176 ▲	2.1	-0.22%	☆	i	■	2,000	Order
×	EUR/JPY	114.596 ▲	114.612 ▼	1.6	-0.78%	☆	i	■	3,000	Order
×	EUR/USD	1.08036 ▼	1.08050 ▼	1.4	-0.33%	☆	i	■	2,000	Order
×	GBP/JPY	130.975 ▲	131.011 ▲	3.6	-1.17%	☆	i	■	1,000	Order
×	GBP/USD	1.23488 ▲	1.23508 ▲	2.0	-0.69%	☆	i	■	1,000	Order
×	NZD/USD	0.60176 ▼	0.60209 ▼	3.3	-0.53%	☆	i	■	1,000	Order
×	USD/CAD	1.41388 ▼	1.41410 ▲	2.2	0.64%	☆	i	■	10,000	Order
×	USD/CHF	0.97449 ▼	0.97471 ▼	2.2	0.20%	☆	i	■	2,000	Order
×	USD/JPY	106.066 ▼	106.078 ▼	1.2	-0.47%	☆	i	■	5,000	Order
×	XAG/USD (per 0.1)	14.944 ▲	14.985 ▼	4.1	-0.35%	☆	i	■	5	Order
×	XAU/USD	1,689.10 ▲	1,690.27 ▼	117	-0.96%	☆	i	■	1	Order

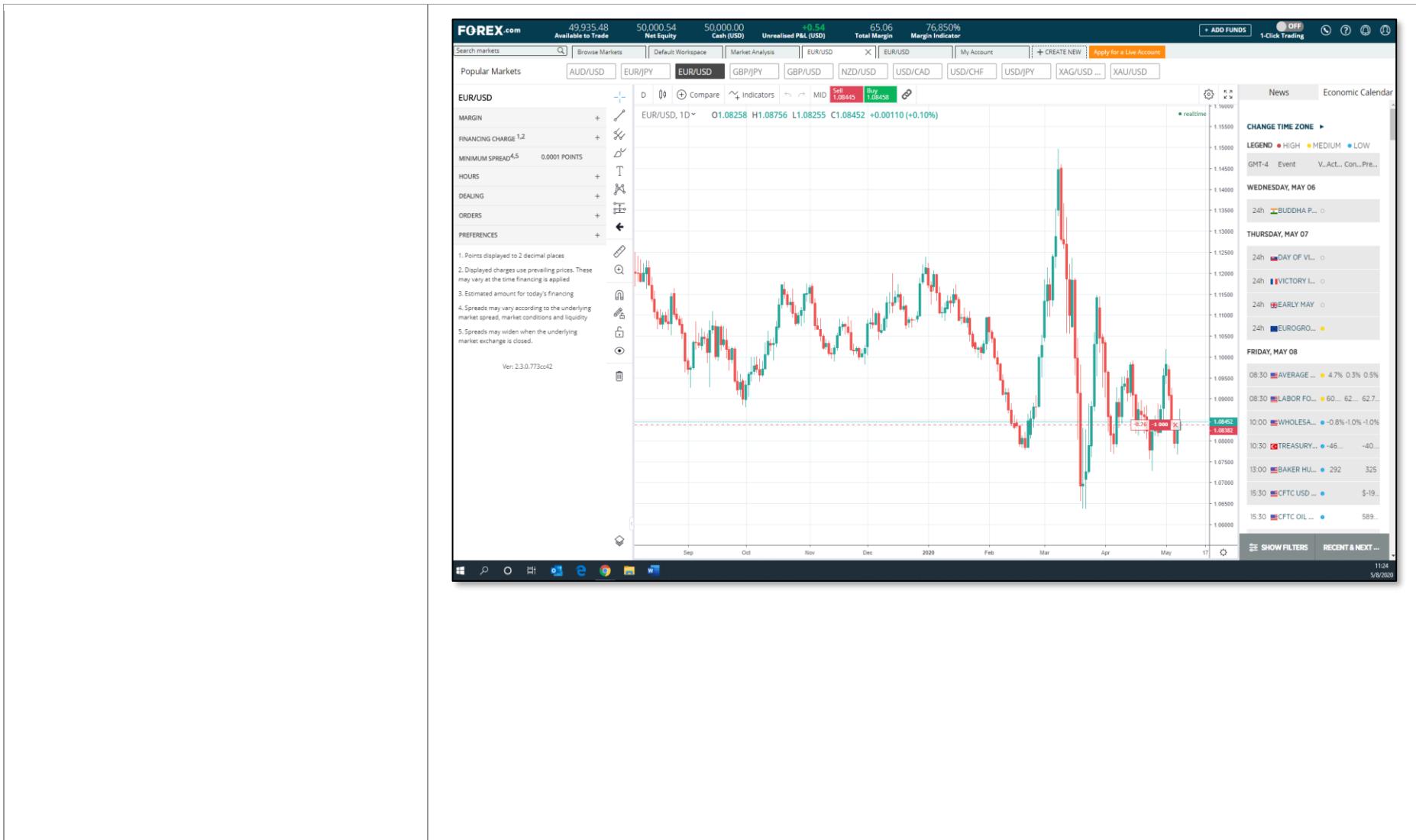
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(ii) transmitting data from the trading system server to a trading client system, the transmitted data representing at least one current exchange rate at the time of the transmission;

See:

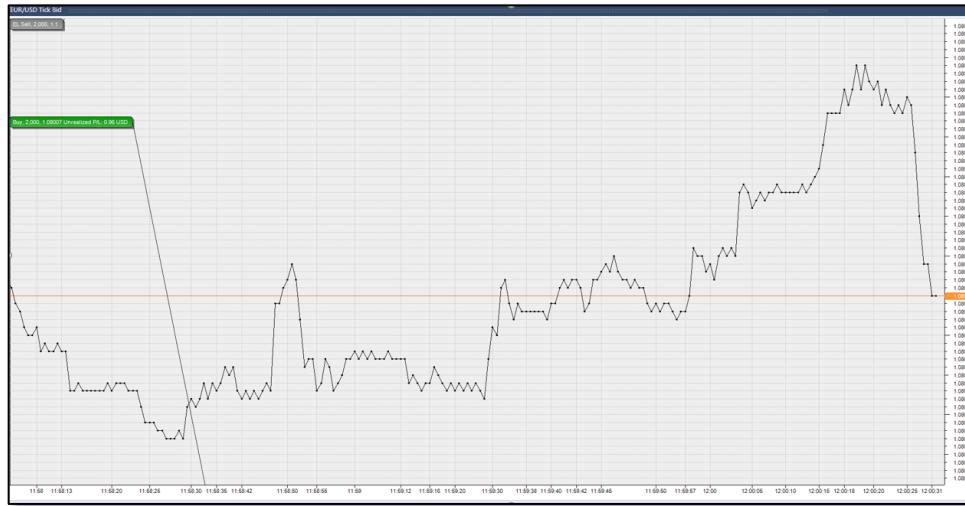


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*Oanda Corp. v. GAIN Capital Holdings, Inc.;  
GAIN Capital Group, LLC.*

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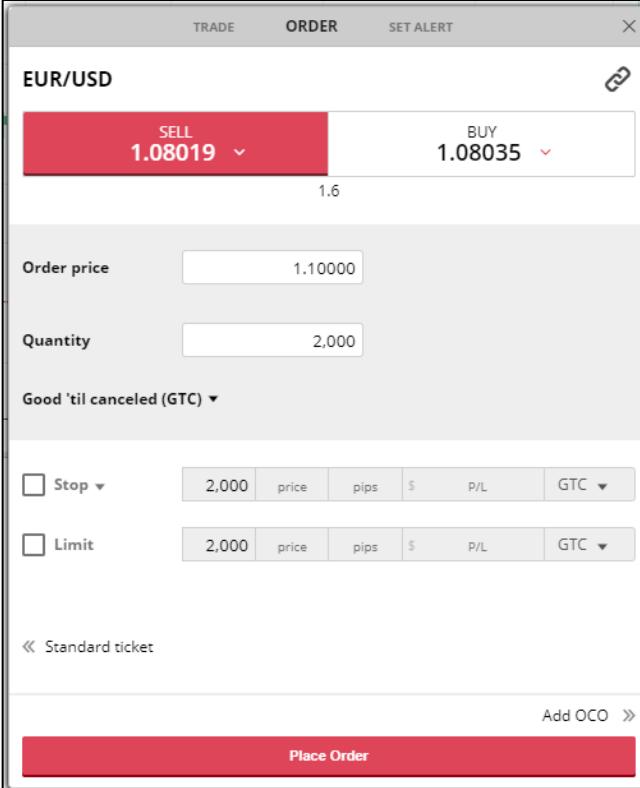
<p>(iii) at the trading client system, displaying the first and second prices for each received current exchange rate to a user;</p>	<p>See Claim 1(ii).</p>
<p>(iv) at the trading client system, accepting input from the user identifying a pair of currencies the user desires to trade, an amount of at least one currency of the pair desired to be traded and a requested trade price at which it is desired to effect the trade;</p>	<p>See:</p> 

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EUR/USD

1.08442 ▼ 1.08459 ▼

Order Type: Entry

Direction: Buy

Price:  <= 1.08434 >= 1.08467

Quantity: 1,000 <= 1,000 >= 5,000,001

Good Till: Cancelled

Stops/Limits

Stop/Limit

Stop Trailing Limit

Price: <= 1.08434 >= 1.0845

PIPs:

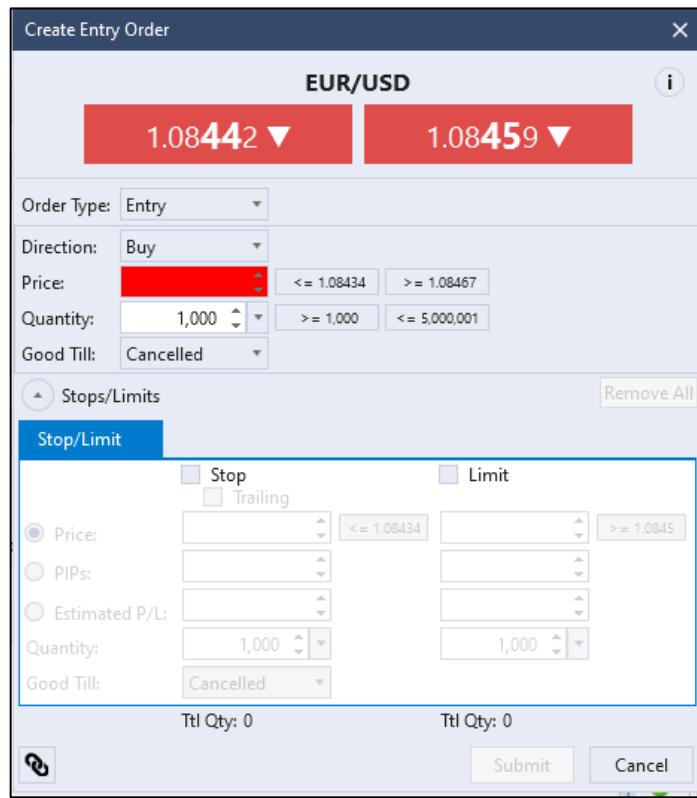
Estimated P/L:

Quantity: 1,000 1,000

Good Till: Cancelled

Ttl Qty: 0 Ttl Qty: 0

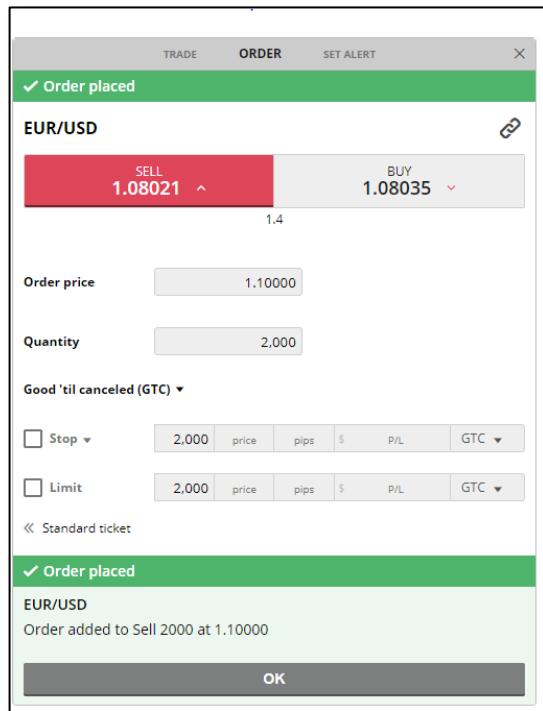
Submit Cancel



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(v) transmitting the accepted input from the trading client system to the trading system server;

See:



Positions (2)		Orders (1)		Price Alerts	
MARKET		ORDER		CURRENT PRICE	
AUD/USD		Buy	2,000	0.70000	0.65423
				0.04577	Delete

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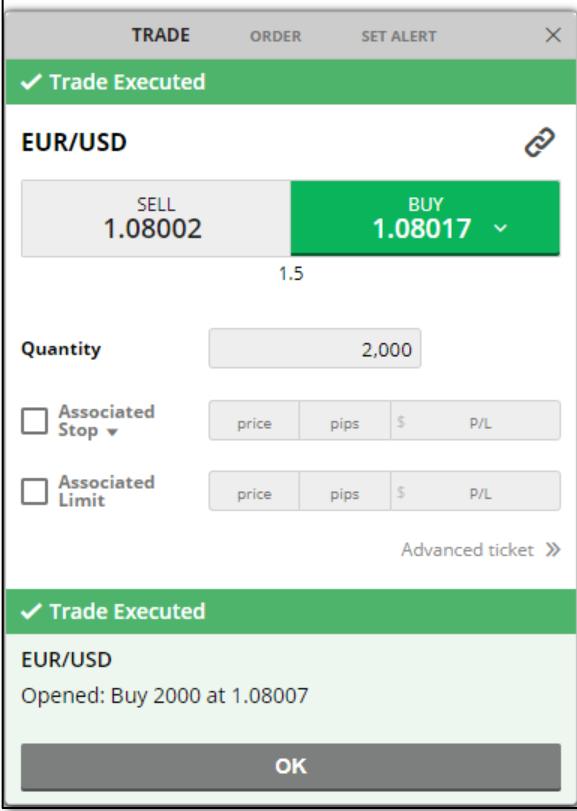
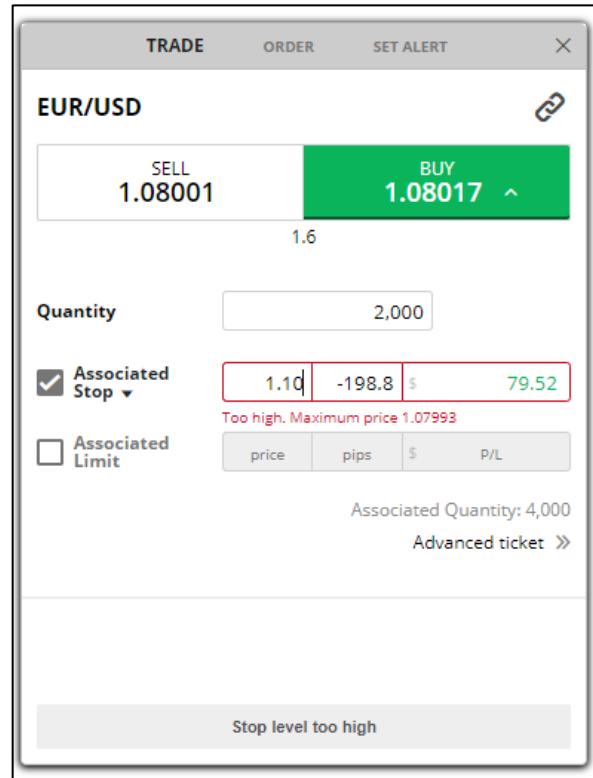
<p>(vi) at the trading system server, comparing the requested trade price to the respective first price or second price of the corresponding current exchange rate at that time and, if the respective first price or second price of the corresponding current exchange rate at that time is equal to or better than the requested trade price, effecting the trade at the corresponding respective current exchange rate first price or second price and if the corresponding current exchange rate is worse than the requested trade price, refusing the trade; and</p>	
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<p>(vii) transmitting from the trading system server to the trading client system an indication of whether the trade was refused or transacted and, if transacted, an indication of the price the trade was transacted at.</p>	<p>See above claim elements.</p>

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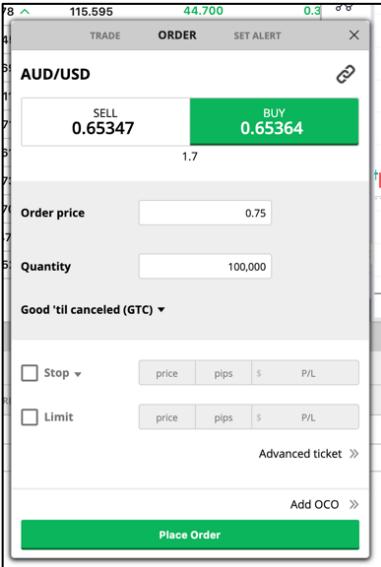
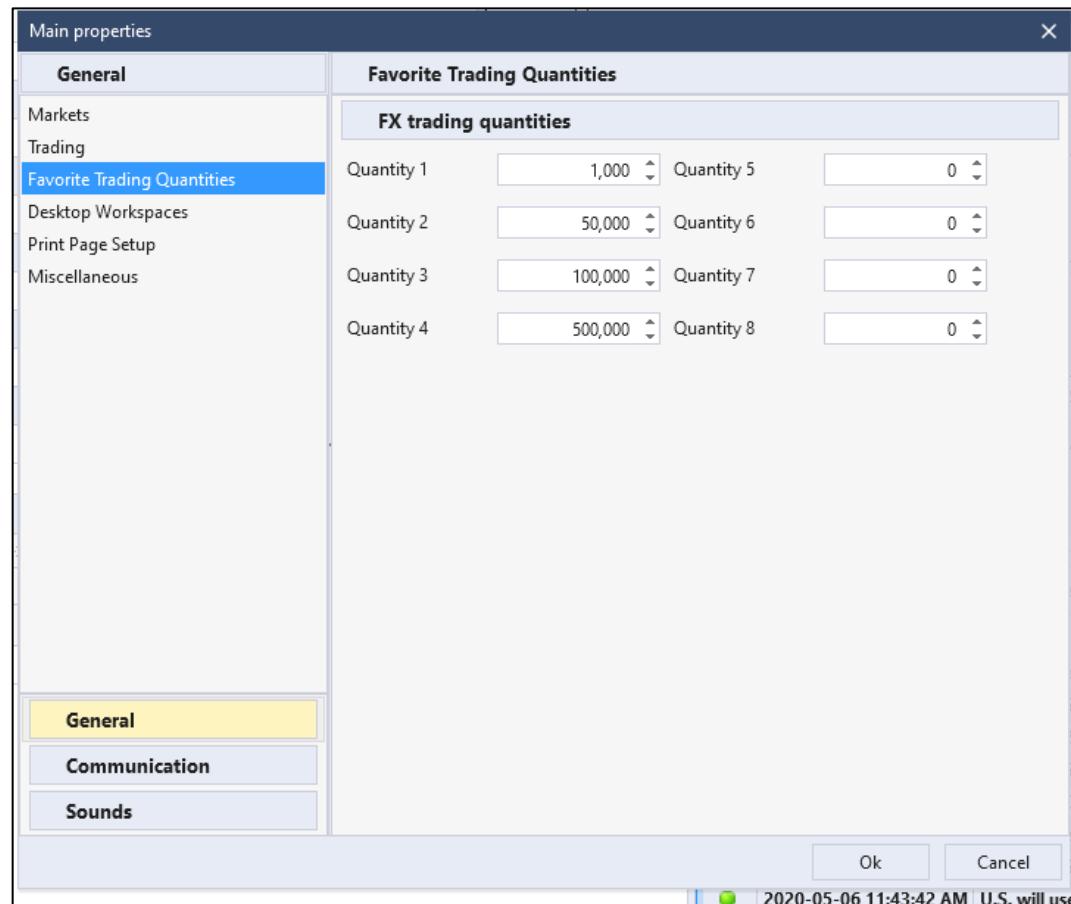
Claim 2	
<p>2. The method of claim 1 wherein the requested trade price is derived from a respective one of the first price or second price of the received current exchange rate and a user input limit value defining a maximum acceptable difference between the respective one of the first price or second price of the received current exchange rate received at the trading client system and the respective one of the first price or second price of the corresponding current exchange rate determined at the trading client system at which the trade can be effected.</p>	<p>See Claim 1. See also:</p> 

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See also: <https://www.forex.com/en-us/education/education-themes/forex-platform-tutorials/us-advanced-trading-platform-customization/> (accessed May 8, 2020)

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<b>Claim 3</b>	
<p>3. The method of claim 2 wherein the user can input a first limit value to define a maximum acceptable difference between the first price of the current exchange rate received at the trading client system and the first price of the corresponding current exchange rate determined at the trading client system and can input a second limit value to define a maximum acceptable difference between the second price of the current exchange rate received at the trading system and the second price of the corresponding current exchange rate determined at the trading client system and the requested trade price is derived from the first price or second price of the current exchange rate received at the trading client system and the corresponding one of the first limit value and second limit value.</p>	<p>See Claim 2.</p>
<b>Claim 4</b>	
<p>4. The method of claim 2 wherein step (iv) comprises the steps of:</p>	<p>See Claim 2.</p>
<p>(a) the user selecting one of the first price and second price of the current</p>	<p>See Claim 2.</p>

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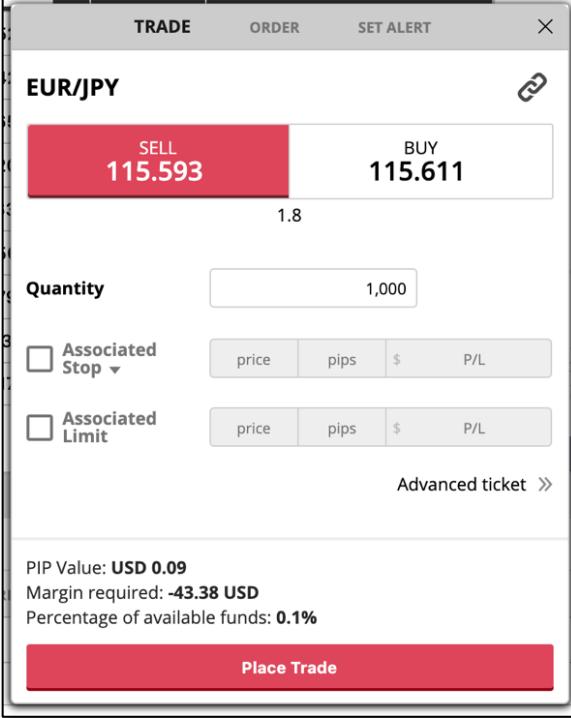
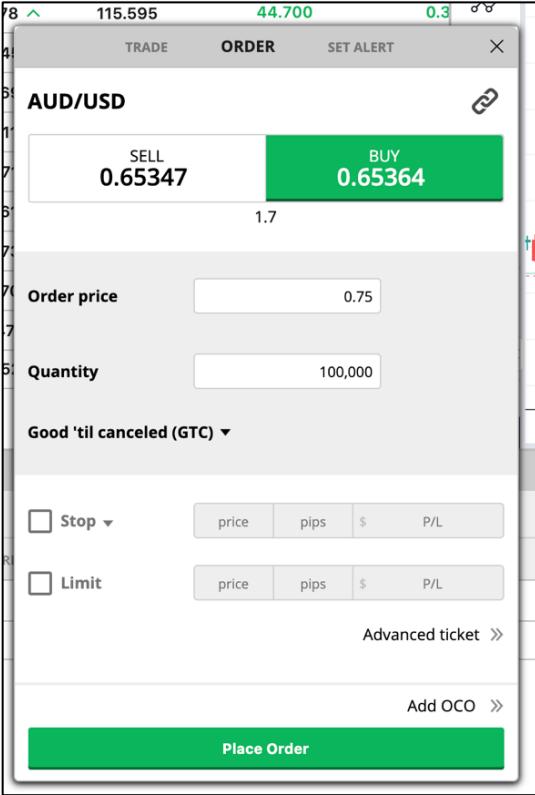
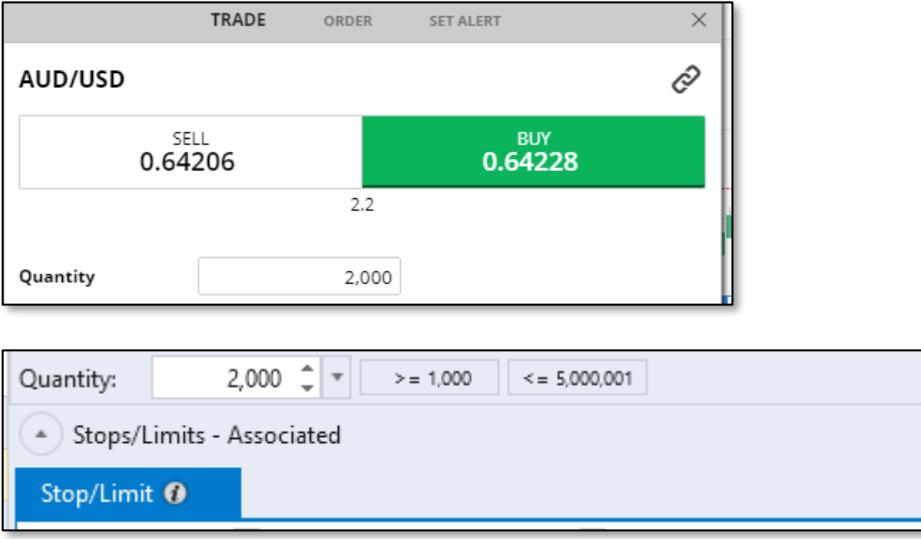
exchange rate displayed at the trading client system;	
(b) displaying to the user a set of input fields to define a desired trade, the input fields including an identification of the pair of currencies the user desires to trade, the amount of the currencies desired to be traded, the selected first price or second price of the current exchange rate received at the trading client system and a limit value, and where the input fields to identify the pair of currencies and the first price or second price are populated with appropriate values determined from the user's selection of the one of the first price or second price;	<p>See:</p> 

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(c) receiving from the user input to the input field defining the desired amount of currency to be traded; and	See above. See also:

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<p>(d) determining the requested trade price from the selected one of the first price and second price and the limit value.</p>	<p>See above. <i>See also:</i></p> <div style="border: 1px solid black; padding: 10px; width: fit-content; margin-left: auto; margin-right: auto;"> <p>PIP Value: <b>USD 0.20</b>        Margin required: <b>38.52 USD</b>        Percentage of available funds: <b>32.6%</b></p> </div>
<p><b>Claim 5</b></p>	
<p>5. The method of claim 4 wherein in step (b) the displayed set of input fields includes: a first limit value to define a maximum acceptable difference between the first price of the current exchange rate received at the trading client system and the first price of the</p>	<p>See above. <i>See also:</i></p>

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corresponding current exchange rate determined at the trading client system; and a second limit value to define a maximum acceptable difference between the second price of the current exchange rate received at the trading system and the second price of the corresponding current exchange rate determined at the trading client system and in step (d) the requested trade price is derived from the selected first price or second price and the corresponding one of the first limit value and second limit value.

The screenshot shows a trading interface for the EUR/JPY currency pair. At the top, there are four price levels: SELL 115.602, BUY 115.618 (highlighted in green), SELL 115.602, and BUY 115.618. A note below states: "These orders are linked. When one is executed the other will be cancelled." The interface is divided into two columns for buying and selling. Each column contains fields for "Order price", "Quantity" (set to 1,000), and "Good 'til canceled (GTC)". Below these are sections for "Stop" and "Limit" orders, each with fields for "quantity", "price", "pips", "\$", "P/L", and "GTC". At the bottom of the interface, there is a button labeled "Enter a price" and a "Remove OCO" button. A summary box at the bottom right provides financial details: PIP Value: USD 0.20, Margin required: 38.52 USD, and Percentage of available funds: 32.6%.

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<b>Claim 6</b>	
6. The method of claim 2 wherein, when the limit value is zero, the requested trade price is the current corresponding first price or second price of the current exchange rate at the trading server.	<i>See generally, Claim 1.</i>
<b>Claim 7</b>	
7. A method of trading currencies over a computer network connecting a trading system server and at least one trading client system, comprising the steps of:	<i>See Claim 1.</i>
(i) at the trading system server, determining and dynamically maintaining a plurality of current exchange rates, each current exchange rate relating to a	<i>See Claim 1(i).</i>

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pair of currencies and including a first price to buy a first currency of the pair with respect to a second currency of the pair and a second price to sell the first currency of the pair with respect to the second currency of the pair;	
(ii) transmitting data from the trading system server to a trading client system, the transmitted data representing at least one current exchange rate at the time of the transmission;	<i>See Claim 1(ii).</i>
(iii) receiving at the trading system server input from a user of the trading client system identifying a pair of currencies the user desires to trade, an amount of at least one currency of the pair desired to be traded and a requested trade price at which it is desired to effect the trade;	<i>See Claim 1(iii-iv). See also:</i>

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**EUR/USD**

SELL **1.08150**
BUY **1.08163**

1.3

Order price: 1.10000

Quantity: 2,000

Good 'til canceled (GTC) ▾

Stop ▾ price pips \$ P/L

Limit price pips \$ P/L

[Advanced ticket >>](#)

[Add OCO >>](#)

[Delete Order](#) [Update Order](#)

**Active Orders**

	Market	Market Expiry	Buy/Sell	Order Type	Quantity	Order Price	Current Price	Distance Away	Stop	Limit	Expiry	Order ID	Date/Time	Basis
	EUR/USD		Sell	Limit	2,000	1.1	1.08143	0.01857			Good Till Cancelled	732593048	2020-05-06 11:20:00 AM	Single
	AUD/USD		Buy	Limit	2,000	0.64	0.64198	0.00198			Good Till Cancelled	732606240	2020-05-06 12:04:41 PM	Single
	AUD/USD		Buy	Limit	2,000	0.64	0.64198	0.00198			Good Till Cancelled	732606441	2020-05-06 12:05:22 PM	Single
	AUD/USD		Sell	Associated Stop	4,000	0.641	0.64177	0.00077			Good Till Cancelled	732608284	2020-05-06 12:13:09 PM	Single

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<p>(iv) at the trading system server, comparing the requested trade price to the respective first price or second price of the corresponding current exchange rate at that time and, if the respective first price or second price of the corresponding current exchange rate at that time is equal to or better than the requested trade price, effecting the trade at the corresponding respective current exchange rate first price or second price and if the corresponding current exchange rate is worse than the requested trade price, refusing the trade; and</p>	<p>See above. <i>See also</i> Claim 1(iv-v).</p>
<p>(v) transmitting from the trading system server to the trading client system an indication of whether the trade was refused or transacted and, if transacted, an indication of the price the trade was transacted at.</p>	<p>See above. <i>See also</i> Claim 1(v-vii).</p>  <p>The screenshot shows a news feed titled 'TOP NEWS - Foreign Exchange' with the following items:</p> <ul style="list-style-type: none"> <li>2020-05-06 12:02:30 PM Italy's daily coronavirus death toll and new cases' tally both rise</li> <li>2020-05-06 12:02:23 PM *TOP NEWS*-Central Banks &amp; Global Economy</li> <li>2020-05-06 12:02:21 PM *TOP NEWS*-Global Markets</li> <li>2020-05-06 12:00:32 PM *TOP NEWS*-World News</li> <li>2020-05-06 11:58:18 AM REFILE-UPDATE 2-Sterling hits 12-day low versus dollar</li> <li>2020-05-06 11:57:47 AM UPDATE 1-Natixis swings to a loss, hikes provisions on coronavirus, frauds</li> <li>2020-05-06 11:55:51 AM BUZZ-COMMENT-Yen gains counter upbeat risk, AUD/USD rally struggles</li> <li>2020-05-06 11:55:43 AM WRAPUP 2-U.S. private payrolls dive by a record 20.2 million</li> </ul> <p>Below the news feed, there is a 'News' tab and an 'Economic Calendar' tab. In the bottom right corner, a message box displays: 'New trade: Market AUD/USD, BUY, 0.64149, Quantity 2,000, Order ID [REDACTED]' with an information icon.</p>